

| | | | |
|---|------------------------|---------------------------|---|
| Random inspection based on the supply relationship to the following REDcert system participant | | Certification body | Internal unique report no. of the Certification body |
| Company name | Participant no. | | |

Please enter all information legibly !!!

Operation/operating site (hereinafter referred to as operation):

Name of operation: _____

Address: _____

Coordinates: Latitude: _____ Longitude: _____

Person responsible: _____

Country of cultivation or origin of the biomass: _____

Audit information

Audited standard: REDcert-EU

Audit type: Initial audit _____

Audit method on-site Date: _____ from _____ a.m./p.m. until _____ a.m./p.m.

on-site Date: _____ from _____ a.m./p.m. until _____ a.m./p.m.

on-site Date: _____ from _____ a.m./p.m. until _____ a.m./p.m.

on-site Date: _____ from _____ a.m./p.m. until _____ a.m./p.m.

Total audit time on site (h): _____ Total time pre-/ post processing (h): _____

Name lead auditor

Name(s) co-auditor(s)

Name(s) trainee(s)

Result of the audit

| Inspection result | Classification | Measures |
|-------------------------|---|--|
| 100% | <input type="checkbox"/> COMPLIANT REDcert requirements are completely satisfied | No corrective measures required |
| 75 - 99% | <input type="checkbox"/> PARTIALLY COMPLIANT REDcert requirements are largely satisfied | Routine documentation, agree on corrective measures, check implementation |
| < 75% or KO (knock-out) | <input type="checkbox"/> NON-COMPLIANT REDcert requirements are not satisfied | Send inspection report to REDcert and BLE (within 24h after the inspection) Follow-up audit required |

Follow-up audit required? No Yes Proposed date: _____ Copy received

Signature of the auditor

Signature of the person responsible

For accuracy: _____

Date

Signature of the person responsible at the certification body

Certification body & risk assessment

| | | |
|-----------------------------|--|---------------------------------------|
| Name of Certification Body | | <i>Logo of Certification Body</i> |
| Registration number REDcert | | |
| Name of accrediting body | | |
| Accredited scope(s) | | |
| Date of accreditation | | |

Contact details of the certification body

Address: _____

Country: _____

Person responsible: _____

Phone number: _____

Email address: _____ Website: _____

Risk assessment

The audit was conducted based on the following risk assessment:

| | |
|-----------------------------------|--|
| Name of risk assessment (file) | |
| Date of the assessment | |
| Result (e.g. low, standard, high) | |
| Comment | |

Other voluntary schemes

N/A

| | |
|---|--|
| The economic operator has or had a certificate of (an) other voluntary scheme(s) recognized under Directive (EU) 2018/2001 art. 30 (4) or (6) <i>(expand list if necessary)</i> | |
| Name of the voluntary scheme | |
| ID-Number of certificate | |
| Scope of the certificate | |
| Current status of certificate (e.g. valid, suspended, withdrawn, terminated) | |
| Valid until | |

Important: All fields are mandatory!

| 1. Information about the operation | | | |
|---|--|---|------------------------|
| Company name (name of the operation) | | | |
| 2. Scope of application | | | |
| 103 - Point of origin | <input type="checkbox"/> | | |
| 104 - Group certification of points of origin (please also fill out 4!) | <input type="checkbox"/> | | |
| Phase: Greenhouse gas (GHG) calculation | | | |
| 001 - GHG calculation (default values) | <input type="checkbox"/> | | |
| 002 - GHG calculation (actual values) | <input type="checkbox"/> | | |
| 3. Information on GHG data | | | |
| Type of greenhouse gas data (multiple options possible) | <input type="checkbox"/> default values <input type="checkbox"/> disaggregated <input type="checkbox"/> NUTS 2 <input type="checkbox"/> actual values | | |
| 4. Group Certification of producers of waste & residues | | | |
| Number of producers of waste & residues supplying biomass | | | |
| Inspected as part of the random inspection (square root of producers of waste & residues) | | | |
| Producer of waste & residues inspected as part of the random sample <i>Expand list if necessary or attach as an enclosure!</i> | | Producer of waste & residues Name, Street, Post code, City | Inspection date |
| | 1 | | |
| | 2 | | |
| | 3 | | |
| | 4 | | |
| | 5 | | |
| | 6 | | |
| | 7 | | |
| | 8 | | |
| Note: All fields are mandatory! | | | © REDcert |

1. Information on estimated amount and type of sustainable biomass

| | | Type of biomass | | | Quantity | Unit |
|---|---|-----------------|--------------------------|---------------------------|----------|------|
| | | Product | Waste/ Residue - Area | Waste/ Residue - Other | | |
| Quantity of <u>estimated</u> annual sustainable biomass [t, for biogas/biomethane in m ³] <i>Expand list if necessary!</i> | 1 | | | | | |
| | 2 | | | | | |
| | 3 | | | | | |
| | 4 | | | | | |
| | 5 | | | | | |

2. Information on actual quantity and type of sustainable biomass

| | | Type of biomass | | | Quantity | Unit |
|---|---|-----------------|--------------------------|---------------------------|----------|------|
| | | Product | Waste/ Residue - Area | Waste/ Residue - Other | | |
| <u>Actual</u> quantity of outgoing sustainable biomass in the last calendar year [t, for biogas/biomethane in m ³] <i>Expand list if necessary!</i> | 1 | | | | | |
| | 2 | | | | | |
| | 3 | | | | | |
| | 4 | | | | | |
| | 5 | | | | | |

Important: All fields are mandatory!

Key:


Conform = Full compliance


Minor NC = limited, isolated, temporary, not systematic


Major NC = potentially reversible, repeated and systematic

Critical / KO = intentional, irreversible, jeopardising integrity

N/A = Scheme requirement is not applicable

 = Input field

 = Input field with KO evaluation

 = Input not possible

Legend (to shorten the comments): MMS= merchandise management system, SD=self-declaration, FA=farmer, IG=incomming goods, OG=outgoing goods, MB=mass balance, MBS=massbalance system, WI=work instruction, PI=procedure instruction, E=employee, P=participant, CM=corrective measure, CAP=corrective action plan, OS=operating site/warehouse

| Name of operation: | | Inspection date: | | | | | |
|--------------------|---|------------------|-------|-------|-------------|----------------------|--|
| Consec. No. | Criterion/requirement | Evaluation | | | | | Comments / description of the inspected documents / records / certificates |
| | | CONFORM | MINOR | MAJOR | CRITICAL/KO | NOT APPLICABLE (N/A) | |
| 1 | General system requirements | | | | | | |
| 1.1 | The supplied waste or residual materials only contain biomass that complies with Directive (EU) 2018/2001. | | | | | | |
| 1.2 | If the waste or residual material originates from agriculture, forestry and fishing or from aquacultures, the requirements stipulated in Art. 29 of Directive (EU) 2018/2001 are satisfied. | | | | | | |
| 1.3 | The material is waste according to Art. 3 of Directive 2008/98/EC. | | | | | | |
| 1.4 | The material has not been intentionally modified or contaminated to be classified as waste. | | | | | | |
| 1.5 | The material is a residual material as defined in Directive (EU) 2018/2001. | | | | | | |
| 1.6 | The declaration of the supplied waste or residual material is complete and correct. | | | | | | |
| 1.7 | The respective waste or residual materials are at no time mixed with biomass of a different origin. | | | | | | |
| 1.8 | Waste and residual materials were not only generated for the purpose of double counting. | | | | | | |
| 1.9 | The self-declaration(s) submitted to the collection point is/ are legible, complete and correct. | | | | | | |

| Consec. No. | Criterion/requirement | Evaluation | | | | | Comments / description of the inspected documents / records / certificates |
|--|--|------------------|--------------|--------------|--------------------|----------------------|--|
| | | CONFORM | MINOR | MAJOR | CRITICAL/KO | NOT APPLICABLE (N/A) | |
| 2 | | | | | | | |
| Mass balance system | | | | | | | |
| 2.1 | The amount of waste/residual material delivered to the downstream interface is proved and checked for plausibility. | | | | | | |
| 3 | | | | | | | |
| Records and documentation | | | | | | | |
| 3.1 | All of the records and shipping documents required to check the requirements above are up-to-date, complete and available. | | | | | | |
| 3.2 | All documents must be kept for at least 5 years. | | | | | | |
| Evaluation of the inspection results | | COMPLIANT | MINOR | MAJOR | CRITICAL/KO | N/A | KO (no confirmation of conformity) |
| Number of evaluations | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total of all evaluations (not including N/A evaluations) | | 0 | | | | | |
| Inspection results as a % | | | | | | | |
| Score (COMPLIANT= 20 pts, MINOR= 15 pts, MAJOR= 5 pts, CRITICAL/KO= 0 pts, N/A= 0 pts, KO= no confirmation of conformity) | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total of all points | | 0 | | | | | |
| Max. number of points | | 0 | | | | | |
| Inspection result as a % (total of all points divided by the max. number of points * 100) | | | | | | | |

Decision tree

