

| Inspection based on group membership under the following group manager |                 | Certification body | Internal unique report no. of the Certification body |
|--|-----------------|--------------------|--|
| Company name   | Participant no. |                    |  |

**Please enter all information legibly !!!**

**Operation/operating site (hereinafter referred to as operation):**

Name of operation: \_\_\_\_\_

Address: \_\_\_\_\_

Coordinates: Latitude: \_\_\_\_\_ Longitude: \_\_\_\_\_

Person responsible: \_\_\_\_\_

Country of cultivation of the biomass: \_\_\_\_\_

SAI fulfilment level according to FSA 3.0: \_\_\_\_\_ (will be filled in automatically after complete evaluation in the checklist)

Module *Responsible Farming*: \_\_\_\_\_ (will be filled in automatically after complete evaluation in the checklist)

**Inspection information**

Inspection scope  EU  REDcert<sup>2</sup>  EU + REDcert<sup>2</sup>

Module:  *Responsible Farming*

Inspection type: \_\_\_\_\_ inspection of group member

Method & date: on-site Date: \_\_\_\_\_ from \_\_\_\_\_ a.m./p.m. to \_\_\_\_\_ a.m./p.m.

on-site Date: \_\_\_\_\_ from \_\_\_\_\_ a.m./p.m. to \_\_\_\_\_ a.m./p.m.

on-site Date: \_\_\_\_\_ from \_\_\_\_\_ a.m./p.m. to \_\_\_\_\_ a.m./p.m.

on-site Date: \_\_\_\_\_ from \_\_\_\_\_ a.m./p.m. to \_\_\_\_\_ a.m./p.m.

Total inspection time on site (h): \_\_\_\_\_ Total time pre-/ post processing (h): \_\_\_\_\_

Name lead auditor: \_\_\_\_\_ Name(s) co-auditor (s) \_\_\_\_\_ Name(s) trainee (s) \_\_\_\_\_

**Result of the inspection**

| Inspection result        | Classification  | Measures  |
|--------------------------|---|---|
| 100%                     | <input type="checkbox"/> <b>COMPLIANT</b><br>REDcert requirements are completely satisfied        | No corrective measures required   |
| 75 - 99%                 | <input type="checkbox"/> <b>PARTIALLY COMPLIANT</b><br>REDcert requirements are largely satisfied | Routine documentation, agree on corrective measures, check implementation   |
| < 75 % or KO (knock-out) | <input type="checkbox"/> <b>NON-COMPLIANT</b><br>REDcert requirements are <b>NOT</b> satisfied    | Send inspection report to REDcert and BLE (within 24h after the inspection)<br><b>Follow-up inspection required</b> |

Follow-up inspection required?  No  Yes Proposed date: \_\_\_\_\_  Copy received

Signature of the auditor \_\_\_\_\_ Signature (person responsible) \_\_\_\_\_

Date \_\_\_\_\_ Signature of the person responsible at the certification body \_\_\_\_\_

### Certification body & risk assessment

|                             |  |                                   |
|-----------------------------|--|-----------------------------------|
| Name of Certification Body  |  | <i>Logo of Certification Body</i> |
| Registration number REDcert |  |                                   |
| Name of accrediting body    |  |                                   |
| Accredited scope(s)         |  |                                   |
| Date of accreditation       |  |                                   |

### Contact details of the certification body

Address: \_\_\_\_\_  
\_\_\_\_\_

Country: \_\_\_\_\_

Person responsible: \_\_\_\_\_

Phone number: \_\_\_\_\_

Email address: \_\_\_\_\_ Website: \_\_\_\_\_

### Risk assessment

The audit was conducted based on the following risk assessment:

|                                   |  |
|-----------------------------------|--|
| Name of risk assessment (file)    |  |
| Date of the assessment            |  |
| Result (e.g. low, standard, high) |  |
| Comment                           |  |

### Other voluntary schemes

N/A

The economic operator has or had a certificate of (an) other voluntary scheme(s) recognized under revised Directive (EU) 2018/2001 art. 30 (4) or (6) (*expand list if necessary*)

|  |  |
|--|--|
| Name of the voluntary scheme   |  |
| ID-Number of certificate   |  |
| Scope of the certificate   |  |
| Current status of certificate<br>(e.g. valid, suspended,<br>withdrawn, terminated) |  |
| Valid until  |  |

**Important: All fields are mandatory!**

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**Checklist for the inspection of farms (REDcert-EU)**

| 1. Information about the operation                                    |  |
|---|--|
| Company name (name of the operation)                                  |  |
| 2. Scope of application   |  |
| Inspection of a <b>group member</b>                                   | <input type="checkbox"/>   |
| Inspection as part of an <b>individual certification</b>              | <input type="checkbox"/>   |
| Phase: Greenhouse gas (GHG) calculation and carbon accumulation       |  |
| 001 - GHG calculation (default values)                                | <input type="checkbox"/>   |
| 002 - GHG calculation (actual values)                                 | <input type="checkbox"/>   |
| 003 - Soil carbon accumulation (Farm with e <sub>sca</sub> practices) | <input type="checkbox"/>   |
| 3. Information on GHG data  |  |
| Type of greenhouse gas data (multiple options possible)               | <input type="checkbox"/> default values <input type="checkbox"/> disaggregated<br><input type="checkbox"/> NUTS 2 <input type="checkbox"/> actual values |
| <b>Note: All fields are mandatory!</b>                                |  |
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**1. Information on estimated amount of sustainable biomass that could be harvested annually**

|   |   | Type of biomass | Category | Quantity in tons |
|---|---|-----------------|----------|------------------|
| Quantity of <u>estimated</u> annual sustainable biomass harvested<br><br><i>Expand list if necessary!</i> | 1 |                 |          |                  |
|   | 2 |                 |          |                  |
|   | 3 |                 |          |                  |
|   | 4 |                 |          |                  |
|   | 5 |                 |          |                  |

**2. Information on actual amount of sustainable biomass harvested**

|   |   | Type of biomass | Category | Quantity in tons |
|---|---|-----------------|----------|------------------|
| <u>Actual</u> quantity of harvested sustainable biomass in the previous calendar year<br><br><i>Expand list if necessary!</i> | 1 |                 |          |                  |
|   | 2 |                 |          |                  |
|   | 3 |                 |          |                  |
|   | 4 |                 |          |                  |
|   | 5 |                 |          |                  |

Additional guidance on the column "Category": please indicate under which of the following categories the material can be categorised  
 AGRI (agricultural biomass e.g. rapeseed or other energy crops produced on farm land)  
 Annex IX Part A (biomass listed under Annex IX part A of revised Directive (EU) 2018/2001)\*  
 Annex IX Part B (biomass listed under Annex IX part B of revised Directive (EU) 2018/2001)\*  
 WaR (other waste or residues not listed under Annex IX of revised Directive (EU) 2018/2001)

\*in addition to Annex IX of revised Directive (EU) 2018/2001, Annex IV of Implementing Regulation (EU) 2022/996 provides a non-exhaustive list of waste and residues currently covered by Annex IX to revised Directive (EU) 2018/2001.

**Important: All fields are mandatory!**

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**Key:**

Conform = Full compliance

Minor NC = limited, isolated, temporary, not systematic

Major NC = potentially reversible, repeated and systematic

Critical NC / KO = intentional, irreversible, jeopardising integrity

N/A = Scheme requirements are not applicable

= Input field

= Input field with KO evaluation

= Input not possible

**Legend to shorten the comments:** MMS=merchandise management system, SD=Self-declaration, FA=Farmer, IG=Incoming goods, OG=Outgoing goods, MB=Mass balance, MBS=Massbalance system, WI=Work instruction, PI=Procedure instruction, E=Employee, P=Participant, R=Recommendation, CM=Corrective measure, AP=Action plan, OS=Operating site/warehouse

| Name of the operation: |  | Inspection Date |       |       |  |  |  |                              |
|------------------------|--|-----------------|-------|-------|--|--|--|------------------------------|
| Consec. No.            | Criterion/requirement  | Evaluation      |       |       |  | Comments / description of the inspected documents / records / certificates | Questions (additionally) relevant for: |                              |
|                        |  | CONFORM         | MINOR | MAJOR | CRITICAL / KO<br>NOT APPLICABLE<br>(N/A) |  | SAI<br>PLATFORM                        | Responsible<br>Farming Modul |
| <b>1</b>               | <b>System principles</b>   |                 |       |       |  |  |  |                              |
| 1.1                    | The agricultural raw materials are grown within the EU. <input type="checkbox"/> Yes <input type="checkbox"/> No   |                 |       |       |  |  |  | x                            |
| 1.2                    | The operation can document that it receives EU payments in a direct support scheme. <input type="checkbox"/> Yes <input type="checkbox"/> No   |                 |       |       |  | E  |  | x                            |
| 1.3                    | The biomass is from land categorised as cropland prior to 01.01.2008.  |                 |       |       |  | E  |  | x                            |
| 1.4                    | If areas were converted after 01.01.2008, conversion and use does not conflict with the requirements set forth in Article 29 of revised Directive (E) 2018/2001.<br>(Note 1: about grasslands: auditor must judge whether an assessment of highly biodiverse grassland is necessary. If an assessment is necessary, it must be conducted by a qualified independent expert. The assessment and result must then be reviewed as part of the inspection.)<br>(Note 2: In the Responsible Farming module, the exemption for areas of less than 1 ha according to the land use criteria pursuant to Art. 29 (3) d) does not apply. If areas smaller than 1 ha have undergone a land use change and are still suitable to fulfil the requirements of the Responsible Farming module, these must be documented.) |                 |       |       |  |  |  | x                            |
| 1.5                    | The sustainable biomass can be clearly assigned to the cropland using the area verification and any additional documentation.  |                 |       |       |  | E  |  | x                            |
| 1.6                    | The biomass was not produced on land with high biodiversity value after 01.01.2008.  |                 |       |       |  | E  |  | x                            |
| 1.7                    | In the event that the biomass was produced on land within protected areas with a permit for farming, there is no indication that these requirements were not complied with.  |                 |       |       |  |  |  | x                            |
| 1.8                    | The biomass is not from land with high above-ground or underground carbon stock (reference date: 01.01.2008). The evidence of verification has to reflect any seasonal changes within a year.  |                 |       |       |  | E, I   |  | x                            |
| 1.9                    | Can it be demonstrated that measures have been taken to maintain soil quality when using agricultural residues and waste materials? These measures can be verified in the form of a e.g. management plan   |                 |       |       |  | E, I, A  |  |                              |
| 1.10                   | Can the economic operator clearly identify the area where the biomass is produced with geographical coordinates by means of a polygon or an unambiguous designation of the parcel of land, forest parcel, plot or similar?   |                 |       |       |  |  |  |                              |
| 1.11                   | The biomass is not from highly biodiverse forest, unless it can be demonstrated that nature protection purposes were not affected.   |                 |       |       |  |  |  |                              |
| 1.12                   | The biomass does not originate from land that was peatland in January 2008, unless it can be proved that the cultivation and harvesting do not imply draining previously undrained soil.   |                 |       |       |  |  |  |                              |
| <b>2</b>               | <b>Additional requirements for operations not subject to conditionality</b>  |                 |       |       |  |  |  |                              |
|                        |  |                 |       |       |  |  |  |                              |
| <b>2.1</b>             | <b>Soil Structure and soil organic matter</b>  |                 |       |       |  |  |  |                              |
| 2.1.1                  | Are measures taken to avoid soil compaction as far as possible and to maintain or improve soil structure (e.g. by using lighter machinery or adjusting tyre pressure, thereby reducing the use of fossil fuels at the same time)?  |                 |       |       |  | I, A   |  | x                            |
| 2.1.2                  | Required erosion protection measures according to the particular erosion category classification are implemented.  |                 |       |       |  | I, A   |  | x                            |
| 2.1.3                  | Proof can be provided that the organic substance in the soil is retained and the soil structure is protected through farming.  |                 |       |       |  | I, A   |  | x                            |
| 2.1.4                  | Land not used for agricultural production is properly cared for. National or regional regulations are satisfied.   |                 |       |       |  | I, A   |  | x                            |
| 2.1.5                  | Farm complies with applicable removal bans for landscape elements hedges, ponds, ditches, trees in line, in groups or isolated and field margins.  |                 |       |       |  | I, A   |  |                              |





| 5    |   | Additional questions of the REDcert <sup>2</sup> certification scheme for the food and feed industry |  |  |  |  | <input type="checkbox"/> N/A (no REDcert <sup>2</sup> inspection needs to be conducted) |           |
|------|---|--|--|--|--|--|---|-----------|
| 5.1  | At farmer level or at the level of the group manager (representing his group), evidence is provided that, in addition to the minimum standards mentioned in question 3.1, the social standards listed in chapter 5.9 of the scheme document also apply and that the relevant national legislation is also observed. |  |  |  |  |  |   | E, I, A   |
| 5.2  | An up-to-date operational management plan is in place that addresses all relevant operational risks and opportunities and contributes to maintaining profitability.   |  |  |  |  |  |   | I, A      |
| 5.3  | The company actively participates in neighbouring communities and provides appropriate communication channels.  |  |  |  |  |  |   | I x       |
| 5.4  | Do you ensure that you or recruitment agencies that provide you with labour do not charge workers (a) recruitment fees or (b) other costs associated with the provision of labour, in accordance with relevant legislation and ILO conventions?   |  |  |  |  |  |   | E         |
| 5.5  | Do you ensure that permanent, temporary and seasonal workers are provided with adequate breaks and the provision of a) drinking water, b) shade and c) sanitary facilities during their shift, in accordance with relevant legislation, ILO conventions or, in their absence, industry guidelines?                  |  |  |  |  |  |   | I, E x    |
| 5.6  | In an emergency, contact details for appropriate medical care are available and easily accessible at the company.   |  |  |  |  |  |   | E x       |
| 5.7  | Do you take measures to maximise the efficiency of energy use, such as optimising your machinery, electricity use, etc.?  |  |  |  |  |  |   | I, A x    |
| 5.8  | New plant and/or grafting material is of high quality and comes from trustworthy sources, the selection and utilisation of varieties is subject to an informed decision and the timing for the delivery of products is chosen appropriately to guarantee good prices and maintain quality.                          |  |  |  |  |  |   | E, I, A   |
| 5.9  | Records are kept of the plant and/or grafting material used, prevention of crop diseases due to cross-contamination and prevention of pest resistance through the use of different types of plant protection products.  |  |  |  |  |  |   | I, A x    |
| 5.10 | If necessary, the company uses protected areas for growing seedlings.   |  |  |  |  |  |   | A         |
| 5.11 | The company takes measures to prevent illegal hunting, fishing and taking of flora and fauna on the managed land, in particular to protect rare, threatened and endangered species. This is done in accordance with the relevant legislation and customary laws.  |  |  |  |  |  |   | I         |
| 5.12 | The company has a water usage plan that optimises water usage in order to reduce water wastage. This includes the appropriate use of rainwater or the recharge of groundwater. It also includes the purification of grey water.   |  |  |  |  |  |   | I, A x    |
| 5.13 | The company ensures that overtime is paid voluntarily and in accordance with the relevant legal provisions and ILO conventions.   |  |  |  |  |  |   | I x       |
| 5.14 | All employees are paid a living wage on a regular basis. Any salary deductions are authorised by law, clearly recorded, communicated to the employee and never made for disciplinary purposes.  |  |  |  |  |  |   | E, I, A x |
| 5.15 | All employees are compensated for accidents or illnesses arising from work-related activities in accordance with the relevant legislation.  |  |  |  |  |  |   | A         |
| 5.16 | The company has a complaints system that allows employees and affected neighbours to report complaints. If complaints arise, they should be investigated and resolved quickly.  |  |  |  |  |  |   | I, A x    |
| 5.17 | Injured or ill employees may no longer carry out activities that jeopardise their health and safety or that of other employees. Injuries are documented, reported and medical measures are taken.   |  |  |  |  |  |   | I         |
| 5.18 | Employees are trained in operational procedures. All minors who live or work on the farm should be able to go to school or be taught at home.   |  |  |  |  |  |   | I         |



| 6 Additional questions REDcert <sup>2</sup> - for compatibility with the <i>Responsible Farming</i> add-on module |  | <input type="checkbox"/> N/A (no REDcert <sup>2</sup> - add on module ReFa - inspection needs to be conducted) |  |  |  |  |
|---|--|--|--|--|--|--|
| <b>Social responsibility</b>  |  |  |  |  |  |  |
| 6.1   | Employees are not obliged to leave their identity documents with the persons responsible, unless this is required by law.  |  |  |  |  |  |
| 6.2   | The organisation and its employees are aware of the health and safety aspects of the work performed. Relevant health and safety risks are identified, procedures to manage these risks are developed by the employers and these are monitored.                                   |  |  |  |  |  |
| 6.3   | There is a system of warnings followed by legally authorised sanctions for employees who do not comply with safety regulations.  |  |  |  |  |  |
| 6.4   | Producers shall ensure regular maintenance of machines, equipment and materials to ensure the safe operation of these devices.   |  |  |  |  |  |
| 6.5   | All employees of the company have a written employment contract in a language they understand. In countries where formal employment contracts between employees and employers are not required, alternative documented evidence of an employment relationship must be available. |  |  |  |  |  |
| <b>Responsibility for the environment</b>   |  |  |  |  |  |  |
| 6.6   | To maintain and provide habitat for wildlife species, farms should maintain and preserve their native vegetation. The native vegetation on the farm is marked on a map and there is a strategy to maintain and restore it.   |  |  |  |  |  |
| 6.7   | There is appropriate storage and disposal of fuel, batteries, tyres, lubricants, waste water and other waste in accordance with national legislation.  |  |  |  |  |  |
| 6.8   | The burning of crop residues, waste or as part of vegetation clearance is not permitted in any part of the growing area unless it is necessary for drying the crop or required by national legislation as a hygiene measure.   |  |  |  |  |  |
| 6.9   | Measures are taken to reduce or recycle waste as far as possible.  |  |  |  |  |  |
| <b>Good agricultural practice in agriculture</b>  |  |  |  |  |  |  |
| 6.10  | Systematic measures are planned and implemented to monitor, control and minimise the spread of invasive introduced species and new pests.  |  |  |  |  |  |
| 6.11  | The farm has an integrated pest management plan that includes targets for reducing potentially harmful pesticides over time.   |  |  |  |  |  |
| <b>Respect for legal land use</b>   |  |  |  |  |  |  |
| 6.12  | There is documented proof of the rights of use to the property (e.g. title deed, rental agreement, court order, etc.).   |  |  |  |  |  |
| 6.13  | Producers shall ensure that their Free, Prior and Informed Consent (FPIC) is obtained before any new activity (land acquisition or development) that may affect IPLC rights, land, resources, livelihoods and food security.   |  |  |  |  |  |
| 6.14  | No conversion of land takes place if there is an unresolved land use claim by traditional land users who are in a legal dispute without the consent of both parties.   |  |  |  |  |  |
| 6.15  | A comprehensive, participatory and documented community rights assessment is carried out for disputed rights of use and the recommendations from the assessment are followed.  |  |  |  |  |  |
| <b>Protection of community relations</b>  |  |  |  |  |  |  |
| 6.16  | If a competent authority requests the farmer to respond to a complaint or grievance in a certain way, this will be done promptly.  |  |  |  |  |  |

x

x

x

x

x

x

x

x

x

x

x

x

x

x

x

x

| Evaluation of the results REDcert-EU  | REDcert EU |       |       |             |                          | CRITICAL/KO<br>NOT<br>APPLICABLE<br>N/A | KO (no confirmation of conformity) |
|---|------------|-------|-------|-------------|--------------------------|---|------------------------------------|
|   | COMPLIANT  | MINOR | MAJOR | CRITICAL/KO | NOT<br>APPLICABLE<br>N/A |   |                                    |
| Number of evaluations REDcert-EU  | 0          | 0     | 0     | 0           | 0                        | 0                                       |                                    |
| Total of evaluations (not including N/A evaluations)                                    | 0          |       |       |             |                          |   |                                    |
| Number of points REDcert-EU   | 0          | von   | 0     |             |                          |   |                                    |
| Audit result as a %<br>(total of all points divided by the max. number of points * 100) |            |       |       |             |                          |   |                                    |

Evaluation scheme for REDcert-EU and REDcert:  
(CONFORM= 20 pts, MINOR= 15 pts, MAJOR= 5 pts, CRITICAL/KO= 0 pts, N/A= 0 pts, KO= no confirmation of conformity)

| Additional questions in the REDcert* area   | REDcert E |       |       |             |                          | CRITICAL/KO<br>NOT<br>APPLICABLE<br>N/A | KO (no confirmation of conformity) |
|---|-----------|-------|-------|-------------|--------------------------|---|------------------------------------|
|   | COMPLIANT | MINOR | MAJOR | CRITICAL/KO | NOT<br>APPLICABLE<br>N/A |   |                                    |
| Number of evaluations REDcert*  | 0         | 0     | 0     | 0           | 0                        | 0                                       |                                    |
| Total of evaluations (not including N/A evaluations)                                    | 0         |       |       |             |                          |   |                                    |
| Total of points   | 0         | von   | 0     |             |                          |   |                                    |
| Audit result as a %<br>(total of all points divided by the max. number of points * 100) |           |       |       |             |                          |   |                                    |

| SAI fulfilment level according to FSA 3.0    | SAI PLATFORM |              |          |     |
|--|--------------|--------------|----------|-----|
|  | essential    | intermediate | advanced | N/A |
| Results according to FSA 3.0-criteria (in %) | 0            | 0            | 0        | 0   |
| SAI fulfilment level according to FSA 3.0    |              |              |          |     |

#### SAI-evaluation horizon:

All questions must be answered for an evaluation. The questions are labelled E (essential), I (intermediate) and A (advanced) in the checklist. Depending on the results, the individual SAI fulfilment level is calculated.

**Bronze:** 100 % essential, 50% intermediate

**Silver:** 100 % essential, 75% intermediate, 50% advanced

**Gold:** 100 % essential, 100 % intermediate, 75% advanced

| REDcert* add-on module Responsible Farming                              | REDcert E |       |       |             |                          | CRITICAL/KO<br>NOT<br>APPLICABLE<br>N/A | KO (no confirmation of conformity) |
|---|-----------|-------|-------|-------------|--------------------------|---|------------------------------------|
|   | COMPLIANT | MINOR | MAJOR | CRITICAL/KO | NOT<br>APPLICABLE<br>N/A |   |                                    |
| Number of evaluations   | 0         | 0     | 0     | 0           | 0                        | 0                                       |                                    |
| Total of evaluations (not including N/A evaluations)                    | 0         |       |       |             |                          |   |                                    |
| Points achieved according to REDcert* add-on module Responsible Farming | 0,0       | von   | 0,0   |             |                          |   |                                    |
| Audit result  |           |       |       |             |                          |   |                                    |

