



<u><b>Certification body &amp; risk assessment</b></u>		
Name of Certification Body		<i>Logo of Certification Body</i>
Registration number REDcert		
Name of accrediting body		
Accredited scope(s)		
Date of accreditation		
<u><b>Contact details of the certification body</b></u>		
Address:	_____	
Country:	_____	
Person responsible:	_____	
Phone number:	_____	
Email address:	_____	
Website:	_____	
<u><b>Risk assessment</b></u>		
The audit was conducted based on the following risk assessment:		
Name of risk assessment (file)		
Date of the assessment		
Result (e.g. low, standard, high)		
Comment		
<u><b>Other voluntary schemes</b></u> <span style="float: right;"><input type="checkbox"/> <b>N/A</b></span>		
The economic operator has or had a certificate of (an) other voluntary scheme(s) recognized under the Revised Directive (EU) 2018/2001 Art. 30 (4) or (6) <i>(expand list if necessary)</i>		
Name of the voluntary scheme		
ID-Number of certificate		
Scope of the certificate		
Current status of certificate (e.g. valid, suspended, withdrawn, terminated)		
Valid until		

**Important: All fields are mandatory!**

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1. Information about the operation	
Company name (name of the operation)	
2. Scope of application	
103 - Inspection of a <b>group member</b>	<input type="checkbox"/>
103 - Inspection as part of an <b>individual certification</b>	<input type="checkbox"/>
<b>Note: All fields are mandatory!</b>	
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### 1. Information on estimated amount and type of sustainable biomass

		Type of biomass	Category	Quantity in tons
Quantity of <u>estimated</u> annual sustainable material generated	1			
	2			
	3			
	4			
	5			
<i>Expand list if necessary!</i>				

### 2. Information on actual quantity and type of sustainable biomass

		Type of biomass	Category	Quantity in tons
<u>Actual</u> quantity of generated sustainable material in the <u>previous</u> calendar year	1			
	2			
	3			
	4			
	5			
<i>Expand list if necessary!</i>				

Additional guidance on the column "Category": please indicate under which of the following categories the material can be categorised  
 Annex IX Part A (biomass listed under Annex IX part A of the Revised Directive (EU) 2018/2001)  
 Annex IX Part B (biomass listed under Annex IX part B of the Revised Directive (EU) 2018/2001)  
 WaR (other waste or residues not listed under Annex IX of the Revised Directive (EU) 2018/2001)


\*in addition to Annex IX of the Revised Directive (EU) 2018/2001, Annex IV of Implementing Regulation (EU) 2022/996 provides a non-exhaustive list of waste and residues currently covered by Annex IX to the Revised Directive (EU) 2018/2001

**Important: All fields are mandatory!**

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**Key:**

Conform = Full compliance

 = Input field


Minor NC = limited, isolated, temporary, not systematic

Major NC = potentially reversible, repeated and systematic

 = Input field with KO evaluation

Critical / KO = intentional, irreversible, jeopardising integrity

N/A = Scheme requirement is not applicable

 = Input not possible

**Legend (to shorten the comments):** MMS= merchandise management system, SD=self-declaration, FA=farmer, IG=incomming goods, OG=outgoing goods, MB=mass balance, MBS=massbalance system, WI=work instruction, PI=procedure instruction, E=employee, P=participant, CM=corrective measure, CAP=corrective action plan, OS=operating site/warehouse

Name of operation:							Inspection date:
Consec. No.	Criterion/requirement	Evaluation					Comments / description of the inspected documents / records / certificates
		CONFORM	MINOR	MAJOR	CRITICAL/KO	NOT APPLICABLE (N/A)	
<b>1</b>	<b>General system requirements</b>						
1.1	The supplied waste or residual materials only contain biomass that complies with the Revised Directive (EU) 2018/2001.						
1.2	If the waste or residual material originates from agriculture, forestry and fishing or from aquacultures, the requirements stipulated in Art. 29 of the Revised Directive (EU) 2018/2001 are satisfied.						
1.3	The material is waste according to Art. 3 of Directive 2008/98/EC.						
1.4	The material has not been intentionally modified or contaminated to be classified as waste.						
1.5	The material is a residual material as defined in the Revised Directive (EU) 2018/2001, Art. 2 (43)						
1.6	The declaration of the supplied waste or residual material is complete and correct.						
1.7	The respective waste or residual materials are at no time mixed with biomass of a different origin.						
1.8	Waste and residual materials were not only generated for the purpose of double counting.						
1.9	The self-declaration(s) submitted to the collection point is/ are legible, complete and correct.						

Consec. No.	Criterion/requirement	Evaluation					Comments / description of the inspected documents / records / certificates
		CONFORM	MINOR	MAJOR	CRITICAL/KO	NOT APPLICABLE (N/A)	
<b>2</b>	<b>Mass balance system</b>						
2.1	The amount of waste/residual material delivered to the downstream interface is proved and checked for plausibility.						
<b>3</b>	<b>Records and documentation</b>						
3.1	All of the records and shipping documents required to check the requirements above are up-to-date, complete and available.						
3.2	All documents must be kept for at least 5 years.						
<b>Evaluation of the inspection results</b>		<b>COMPLIANT</b>	<b>MINOR</b>	<b>MAJOR</b>	<b>CRITICAL/KO</b>	<b>N/A</b>	<b>KO (no confirmation of conformity)</b>
Number of evaluations		0	0	0	0	0	0
Total of all evaluations (not including N/A evaluations)		0					
<b>Inspection results as a %</b>							
Score (COMPLIANT= 20 pts, MINOR= 15 pts, MAJOR= 5 pts, CRITICAL/KO= 0 pts, N/A= 0 pts, KO= no confirmation of conformity)		0	0	0	0	0	0
Total of all points		0					
Max. number of points		0					
Inspection result as a % (total of all points divided by the max. number of points * 100)							









## Decision tree

