



REDcert

**Scheme principles for the production of
biomass, biofuels and bioliquids**

Version 05

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1 Introduction

To protect the climate and reduce current CO₂ emissions, the aim is to promote the sustainable use of biomass for energy. With Directive 2009/28/EC (RED), the European Union has defined sustainability requirements for biofuels and bioliquids produced from biomass. These apply for companies along the entire production, processing and supply chain. All economic operators engaged in the production and supply of biomass (for the energy sector) must have pledged to comply with an approved certification scheme. **REDcert is this type of certification scheme.**

2 Scope of application

The requirements criteria for the production of sustainable biomass, biofuels and bioliquids outlined in this document apply to all farms, first gathering points, suppliers and last interfaces that supply sustainably produced biomass to the REDcert scheme (i.e. to scheme participants) in accordance with Directive 2009/28/EC of the European Parliament and Council of 23 April 2009 on the promotion of the use of energy from renewable sources and amending and subsequently repealing Directives 2001/77/EC and 2003/30/EC.

The sustainability criteria for the production of sustainable biomass outlined in this document apply to all farmers participating in the REDcert scheme. The neutral inspection of farms includes all of the requirements identified below in this document.

Farmers in an EU member state

- who receive direct payments pursuant to Regulation (EU) No. 1307/2013 or support for measures to promote rural development pursuant to Article 17 (1) d, Article 21, Article 28, Article 30, Article 31, Article 33 and Article 34 of Regulation (EC) No. 1305/2013 of the European Parliament and of the Council from 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) which requires cross compliance

or

- are registered as an organisation pursuant to Regulation (EC) No. 761/2001 of the European Parliament and of the Council from 19 March 2001 allowing voluntary participation by organisations in a

community eco-management and audit scheme (EMAS) (OJ L 114 from
24.4.2001, p. 1), in the relevant applicable version

are inspected for compliance with the requirements stipulated in Art. 17, 18 and 19 (if relevant) of Directive 2009/28/EC if an application has been submitted for direct payments or direct payments have already been received (and the farm is therefore subject to cross compliance requirements) or an EMAS registration exists.

All relevant REDcert documents as well as Directive 2009/28/EC apply to the scope of this scheme.

3 Definitions

To establish a common understanding of the terms and definitions used in these scheme principles, they are outlined and explained separately in the annex to the document “Scope and basic scheme requirements”. All of the scheme principles of REDcert refer to this annex.

REDcert scheme

Certification scheme for the production of biomass, biofuels and bioliquids

EU Directive 2009/28/EC (RED)

EU Directive 2009/28/EG of the European Parliament and Council of 23 April 2009 on the promotion of the use of energy from renewable sources

Biomass

The biodegradable fraction of products, waste and residues from biological origin from agriculture (including vegetal and animal substances), forestry and related industries including fisheries and aquaculture, as well as the biodegradable fraction of industrial and municipal waste.

Bioliquids

Bioliquids are liquid fuel for energy purposes other than for transport, including electricity and heating and cooling, produced from biomass.

Biofuels

Liquid or gaseous fuel for transport produced from biomass.

Producers

Producers own and/or use farmland on which biomass is cultivated and harvested as a raw material for the production of biofuels and bioliquids.

First gathering points

First gathering points collect biomass from producers for resale or further processing. Even if the biomass is supplied by a producer directly to a storage or conversion facility on behalf of a first gathering point, the first gathering point is subject to certification as an “interface”.

Suppliers

Suppliers are economic operators who supply and transport sustainable biomass, bioliquids and biofuels to the next recipient in the chain.

A distinction is made in the scheme between suppliers before the last interface and suppliers after the last interface.

Suppliers before the last interface are economic operators who supply biomass to the next recipient between the first gathering point and the last interface.

Suppliers after the last interface are the economic operators who supply the liquid biomass or fuel to the next recipient between production by the last interface and the plant operator or those required to provide proof that they satisfy the requirements.

Interfaces

Generally speaking, interfaces are economic operators along the production and supply chain that require certification. A distinction is made between:

- first gathering points
- conversion plants of all types such as oil mills, bioethanol plants, sugar factories, etc.

Last interfaces

Conversion plants are called “last interfaces” (corresponds to the “end producer”) if they process liquid or gaseous biomass to reach the quality level required for end consumption.

These are, e.g.:

- oil mills in the case of vegetable oil
- esterification facilities in the case of biodiesel
- hydrogenation plants or co-hydrogenation plants in the case of hydrogenated vegetable or animal oils
- bioethanol production facilities in the case of bioethanol (except when the biomass is further processed to ETBE as the last interface)
- biogas facilities in the case of biogas for biofuels

4 Requirements for sustainable biomass production

The requirements criteria related to land set forth in Directive 2009/28/EC (Article 17 of Directive 2009/28/EC) are designed to ensure that no new land designated for the protection of natural habitats or containing significant carbon stocks is converted for cultivating biomass for energy use.

4.1 Land with high biodiversity value (Article 17 (3) of Directive 2009/28/EC)

Biofuels and bioliquids may not be made from raw materials obtained from land with high biodiversity value, namely land that had one of the following statuses in or after January 2008, whether or not the land continues to have that status:

4.1.1 Primary forest and other wooded land

Forest land includes primary forests and other natural areas where native tree species grow and there is no clearly visible indication of human activity and ecological processes are not significantly disturbed.

Native tree species are tree species that grow within their natural growing range in places and under climate conditions to which they are adjusted through their natural evolution without human intervention.

Native tree species do not include

- tree species introduced into areas by humans where they never would have grown without human intervention and
- tree species and/or cultivated species that would not have grown in these places or under these climate conditions without human intervention even if these places and/or climate conditions are still within the wider geographic growing range

Clearly visible indications of human activity are

- economic use (e.g. wood harvest, forest clearance, land-use change)
- heavily fragmented by infrastructure e.g. streets, power lines and

- disturbances of the natural biodiversity (e.g. significant presence of non-native plants and animal species)

Activities performed by indigenous populations and other traditional sections of the population whose livelihoods depend on the use of forest products who have a minor impact on the forested land (e.g. collection of wood and non-wood products, use of a small number of trees and small-scale clearance as part of traditional systems of use) are not considered clearly visible indications of human activity as long as the impact on the forest is minor.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

4.1.2 Areas designated by law or by the relevant competent authority for nature protection purposes

Areas serving purposes of nature conservation have been designated, by law or by the competent authority, for the purposes of nature protection, and land that has been recognised by the Commission of the European Communities for the protection of rare, threatened or endangered ecosystems.

In Germany, land designated for nature protection purposes includes all parts of nature or the landscape protected by or on the basis of, e.g. the Federal Nature Conservation Act (Bundesnaturschutzgesetz). A distinction is made between the various areas depending on their size, protection function and protection objectives. The restrictions for their use can be determined on this basis. The most important categories of protected areas are: nature conservation areas, national parks, biosphere reserves, landscape reserves and nature parks as well as protected areas that are designated in NATURA 2000 (Federal Nature Conservation Act (Bundesnaturschutzgesetz - BNatschG) Articles 28, 29).

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

Biomass may be farmed on land that serves nature protection purposes as long as evidence is provided that the production of that raw material did not interfere with the stated nature protection purposes.

4.1.3 Areas designated for the protection of rare, threatened or endangered ecosystems or species

Areas designated for the protection of rare, threatened or endangered ecosystems or species recognised by international agreements or included in lists drawn up by intergovernmental organisations or the International Union for the Conservation of Nature, subject to their recognition in accordance with the second subparagraph of Article 18(4) of Directive 2009/28/EC.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

Exceptions are possible if evidence is provided that the production of that raw material did not interfere with those nature protection purposes.

REDcert will provide the economic operators with all information about the lists with protected areas as soon as they are made available by the European Commission. The standard documentation will be updated accordingly.

4.1.4 Highly biodiverse grassland

Biofuels and bioliquids shall not be made from raw material obtained from land that was highly biodiverse grassland in or after January 2008, whether or not the land continues to have that status.

Regulation (EC) No. 1307/2014, Article 1 (1) defines **grassland** as terrestrial ecosystems dominated by herbaceous or shrub vegetation for at least 5 years continuously. It includes meadows or pasture that is cropped for hay but excludes land cultivated for other crop production and cropland lying temporarily fallow. Human intervention means managed grazing, mowing, cutting, harvesting or burning. It further excludes continuously forested areas as defined in Article 17(4)(b) of Directive 2009/28/EC unless these are agroforestry systems which include land-use systems where trees are managed together with crops or animal production systems in agricultural settings. The dominance of herbaceous or shrub vegetation means that their combined ground cover is larger than the canopy cover of trees.

In this context **natural highly biodiverse grassland** means grassland that:

- would remain grassland in the absence of human intervention
- maintains the natural species composition and ecological characteristics and processes

Furthermore **non-natural highly biodiverse grassland** means grassland that:

- would cease to be grassland in the absence of human intervention
- is not degraded, that is to say it is not characterised by long-term loss of biodiversity due to for instance overgrazing, mechanical damage to the vegetation, soil erosion or loss of soil quality
- is species-rich, that means:
 - a habitat of significant importance to critically endangered, endangered or vulnerable species as classified by the International Union for the Conservation of Nature Red List of Threatened Species or other lists with a similar purpose for species or habitats laid down in national legislation or recognised by a competent national authority in the country of origin of the raw material
 - a habitat of significant importance to endemic or restricted-range species
 - a habitat of significant importance to intra-species genetic diversity
 - a habitat of significant importance to globally significant concentrations of migratory species or congregatory species
 - a regionally or nationally significant or highly threatened or unique ecosystem unless evidence is provided that the harvesting of the raw material is necessary to preserve its grassland status.

Grasslands in the following geographic ranges of the European Union shall always be regarded as highly biodiverse grassland:

- habitats as listed in Annex I to Council Directive 92/43/EEC;
- habitats of significant importance for animal and plant species of Union interest listed in Annexes II and IV to Directive 92/43/EEC;
- habitats of importance for wild bird species listed in Annex I to Directive 2009/147/EC of the European Parliament and of the Council.

For all land which according to the above mentioned definition was grassland in January 2008 or has become grassland in the meantime it needs to be established whether the grassland would remain or cease to be grassland in the absence of human intervention for “natural highly biodiverse grassland” and “non-natural highly biodiverse grassland”. It needs to be distinguished between those two types of grassland.

In case the grassland would remain grassland or would have remained grassland (if it was converted) in absence of human intervention and the land is located in the areas listed in Article 2 of Regulation (EC) No. 1307/2014, the land has to be considered to be or have been natural highly biodiverse grassland. If such land is located outside these areas it needs to be assessed whether the grassland maintains or would have maintained the natural species composition and ecological characteristics and processes. If this is the case the land has to be considered to be or to have been natural highly biodiverse grassland. No raw materials from land which is or was natural highly biodiverse grassland in January 2008 may be used for the production of biofuels and bioliquids.

In case the grassland would not remain grassland in the absence of human intervention and the harvesting of the raw material is necessary to preserve the grassland status, no further evidence is necessary to show compliance with Article 17 (3) (c) of Directive 2009/28/EC even if the grassland is located in the areas stipulated in Article 2 of Regulation (EC) No. 1307/2014

If the harvesting of raw material is not necessary to preserve the grassland status or the grassland has been converted e.g. to cropland used for the production of raw materials, it has to be established whether the grassland is or was highly biodiverse:

- If the land is located in the areas stipulated in Article 2 of Regulation (EC) No. 1307/2014, the grassland has to be considered non-natural highly biodiverse grassland.
- If the land is located outside these areas it needs to be assessed according to the criteria laid down in Article 1 (3) and (4) of Regulation (EC) No. 1307/2014 whether the land is/was degraded and is/was species-rich. If the land is not degraded and species-rich, or it was before being converted, it has to be considered as non-natural highly biodiverse grassland. In case the grassland is or was non-natural highly biodiverse grassland, raw materials from this area cannot be regarded as compliant with the sustainability criteria.

If grassland has already been converted to cropland, it is not possible to assess the characteristics of the land itself. Verifying compliance with the criteria for highly biodiverse grassland requires some technical knowledge that goes beyond the expertise that can be expected from the inspectors verifying the accuracy of the claims made by the economic opera-

tors.¹ This proof can be furnished in the form of approvals or certificates from state authorities related to changes in grassland status which took into account the aspect of biodiversity when issued. This means a precautionary approach should be taken in assessing whether, or not the grassland was highly biodiverse: the inspector “must judge whether an assessment of highly biodiverse grassland is necessary”. And “if an assessment is necessary, it must be conducted by a qualified independent expert who may additional to the “inspector”. The assessment and result must then be reviewed as part of the inspection. The requirements that apply to the REDcert inspectors and experts are described in detail in the REDcert “Scheme principles for neutral inspections”.

Information about the grassland status can still be obtained from the land-use codes specified in the farm's application for the direct support scheme, special area-related support measures, agricultural and environmental measures, participation in contractual nature conservation management or nature conservation programmes, etc. as well as in other applications submitted to or assessments from government agencies, e.g. the federal or regional nature conservation authority.

However, the legally stipulated bans on ploughing and conversion (e.g. regulations governing the preservation of permanent grassland relevant to cross compliance; grassland habitat types in FFH areas with special protection under nature conservation laws; preservation of permanent grassland/greening; areas protected by nature conservation laws delineated in protected area ordinances, etc.) always have to be taken into account.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

Note:

The requirements for calculating greenhouse gas emissions as a result of land-use changes (e) must be taken into account. The requirements for calculating the greenhouse gas emissions as a result of land-use changes are described in the document “Scheme principles for GHG calculation”.

¹ European Commission DG ENER: Letter to the voluntary schemes with guidance on how to verify protection of highly biodiverse grasslands (29.01.2015) at: <https://ec.europa.eu/energy/sites/ener/files/documents/PAM%20to%20vs%20on%20HBG.pdf> (accessed on 10.10.2016).

4.2 Land with high above-ground or underground carbon stock (Article 17 (4) of Directive 2009/28/EC)

Biofuels and bioliquids may not be made from raw material obtained from land with high carbon stock, namely land that had one of the following statuses in January 2008 and no longer has that status.

4.2.1 Wetlands (Art. 17 (4) (a) of Directive 2009/28/EC)

Wetlands are land that is covered with or saturated by water permanently or for a significant part of the year. These provisions do not apply if, at the time the raw material was obtained, the land had the same status as it had in January 2008.

Wetlands include, in particular, swamps, marshes or bogs, as well as other bodies of water, whether natural or artificial, permanent or temporary, with water that is static or flowing, fresh, brackish or salt, including areas of marine water the depth of which at low tide does not exceed six metres.

- “Covered with water” means that water is visible on the surface as surface water.
- The soil is “saturated by water” if it is completely inundated with water and, as a result, moisture is present at the surface but no shallow pools form.
- This state is evident throughout the entire year for areas that are permanently covered or saturated by water.
- This state is not evident throughout the entire year for areas that are covered or saturated by water for a significant part of the year. A significant part of the year means that the cover or saturation with water lasts for such a considerable part of the year that the dominant organisms have adapted to moisture or reduced conditions. This applies, in particular, to shallow water areas, coastal areas, swamps, bogs, fens and moors.

Retaining the wetland status also means that this state may not be actively changed or adversely affected. An inspector must verify every change in status of a wetland that has occurred within a year during the annual inspection.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

4.2.2 Forested areas

- a.) **Continuously forested areas**, i.e. land spanning more than one hectare with trees higher than five metres and a canopy cover of more than 30%, or trees able to reach those thresholds in situ (Article 17 (4) (b) of Directive 2009/28/EC). It does not include land that is predominantly under agricultural or urban land use (Communication of the EU Commission 2010/C 160/02).²
- b.) Land spanning more than one hectare with trees higher than five metres and a canopy cover of between 10% and 30% (known as “sparsely forested areas”), or trees able to reach those thresholds in situ, unless evidence is provided that the carbon stock of the area before and after conversion is such that, when the methodology laid down in part C of Annex V of Directive 2009/28/EC is applied, the conditions laid down in paragraph 2 of this Article of Directive 2009/28/EC would be fulfilled (Article 17 (4) (c)).

The canopy cover is the degree to which the surface of the forest is covered by a layer of branches and foliage at the top of a forest's trees. A tree's cover corresponds to its crown width. The crown width can be estimated or measured. When determining the percentage of tree canopy cover, the vertical projection of all crown widths is used.

The status of forested areas includes all development stages and ages. It is possible for the cover canopy to fall below 10% or 30% temporarily in the event of deforestation or as a result of a natural catastrophe (e.g. avalanches). This does not, however, change the land's status as a forested area as long as reforestation or natural rejuvenation is assured within a reasonable amount of time.

The canopy cover expressed as a percentage denotes the average canopy cover of a forest area; it corresponds to an area with a homogenous canopy cover. If an area has measurably different canopy covers, it must be broken down into sub-areas each with homogenous canopy covers to determine the average canopy cover. The average canopy cover is then derived from the canopy covers of the sub-areas.

The total size of the **forested area** has to be considered regardless of much of the forested area lies within the farmed areas or arable land. Accordingly the total size applies as a

² Land under agricultural use in this context refers to tree stands in agricultural production systems, such as fruit tree plantations, oil palm plantations and agroforestry systems when crops are grown under tree cover (Communication of the EU Commission 2010/C 160/02).

standard for the limits listed here for the cover degree of 10% (subparagraph b. – sparsely forested) or 30% (subparagraph a. – continuously forested). If the total size of the forested area is larger than one hectare and if the entire area has trees higher than five metres, the area, and every part situated within an operational or cultivated area, is classified as **forested area**. Even if only 0.5 ha of the **forested area** lies within the farmed area, these 0.5 ha have to be classified as **forested area** as does the entire area.

Continuously forested areas may not be converted even if national regulations allow this.

Short-rotation plantations are not subject to the regulation set forth in Article 17 of Directive 2009/28/EC because they are classified as permanent crops and thus part of the agricultural land.

The provisions set forth in paragraph of Directive 2009/28/EC (Art. 17 (4) a) to c) do not apply if, at the time the raw material was obtained, the land had the same status as it had in January 2008.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

4.3 Land that was peatland in January 2008 (Art. 17 (5) of Directive 2009/28/EC)

Biofuels and bioliquids may not be made from raw material obtained from land that was peatland in January 2008.

An exception is possible if evidence is provided that

- the land was completely drained in January 2008 or
- the land has not been drained since January 2008.

This means that for peatland that was partially drained in January 2008 a subsequent deeper drainage, affecting soil that was not already fully drained, would constitute a breach of the criterion³.

Peat itself is not considered biomass.

³ Communication of the EU Commission 2010/C 160/02

Peatland soil is soil that, when analysed up to a depth of 60 cm, exhibits organic material (peat substrate) in horizontal layers with a total thickness of at least 30 cm. The mass of the organic material is at least 20% organic carbon in the fine soil.

Drainage is defined as a reduction of the average annual water level due to an increased water loss or a reduced water supply as a result of human activities or installations both inside and outside of an area.

Peatland that was already used as cropland before the cut-off date may be used for biomass cultivation as long as evidence is provided that the cultivation and harvest of this raw material did not require land to be drained that was previously not drained.

The verification requirements for assessing the status of the land are described in detail in section 4.7.2 “Verification of the status of land”.

4.4 Environmentally responsible biomass production

Environmentally responsible biomass production means:

- following the GAP guidelines (Good Agricultural Practice)
- maintaining the soil in a “good agricultural and environmental condition”

Directive 2009/28/EC refers here to Regulation (EC) No. 73/2009, which has been replaced in the meantime by Regulation (EU) 1307/2013, with provisions on direct payments to farm owners as part of support schemes under the Common Agricultural Policy (known as “cross-compliance criteria”). Mandatory for sustainable biomass production are, in particular, the defined requirements for the cross-compliance criteria in Annex II in the section “Environment, climate change, good agricultural condition of land” and the correlation table in Annex III of Regulation (EU) No. 1306/2013.

In addition, Directive 2009/28/EC contains recommendations for socially responsible practices related to the production of sustainable biomass.

4.4.1 Groundwater protection

Producers may not discharge any dangerous substances contained in List I of Directive 80/68/EEC into the groundwater. In agricultural production, this mainly involves substances such as products with a mineral oil basis and pesticides that explicitly contain toxic organic-

chemical substances and substances with biological risk potential. This also includes the requirements listed under 4.4.4.

Producers must also prevent indirect discharge of the dangerous substances contained in List II of Directive 80/68/EEC into the groundwater. They thus have to provide adequate facilities for the storage and handling of slurry or other type of livestock manure and silage with no risk of leakage or drip loss. If national provisions apply (e.g. that these facilities have to be approved by the competent authority), they must be fulfilled.

The disposal, use or storage of these types of substances must comply with the applicable legal regulations.

4.4.2 Fertiliser use

“Good Agricultural Practice” includes rules for handling and applying all kind of fertilisers responsibly. Special attention must be paid to fertilisers with high nitrogen content because of their negative impact on ground and surface water quality (nitrates and ammonium) as well as on GHG emissions (NH_4 and NO_2).

Producers therefore need to implement practices that reduce nitrate pollution taking into consideration the specific farming conditions in their region and the type of crop. These include:

- respecting periods when it is prohibited to apply fertiliser
- not applying fertiliser to ground with a steep gradient
- not applying fertiliser to water-saturated, frozen or snow-covered ground
- creating a crop nutrient balance taking into account nutrient inputs in relation to crop offtake (input = every kind of fertiliser; crop offtake = everything that is harvested including straw and by-products)
- using care when applying fertiliser near watercourses (e.g. no fertiliser at all around or near bodies of water or reduced amounts)
- calculating and providing the necessary storage capacity and setting up slurry storage facilities
- storing mineral fertilisers in appropriate storage facilities (covered, dry and clean)

- undertaking measures to prevent water contamination caused by run-off and seepage of liquids that contain slurry or slurry effluent from stored plant material (e.g. silage) into the groundwater or surface water
- using suitable procedures for applying chemical and organic fertilisers that keep nutrient losses (nitrates) to the groundwater at an acceptable level in terms of fertiliser quantity and quality
- storing organic and mineral fertilisers in suitable areas or storage facilities (generally covered, dry and clean)

The producer must ensure that he himself and all employees involved in applying fertilisers have the knowledge necessary (expertise).

The producer must provide continuous documentation about the type of crop, time, area, type and amount of fertiliser.

4.4.3 Use of sludge

The use of “sludge” as defined in Article 2 a) of Directive 86/278/EEC as a fertiliser on agricultural land is prohibited unless country-specific laws and regulations explicitly allow and regulate the use of sludge consistent with the above Directive.

If sludge is allowed to be applied as fertiliser, the documentation and verification requirements are the same as for fertilisers. Proof that official authorisation has been granted to apply sludge must also be available.

4.4.4 Application and handling of plant protection products

Producers are not allowed to handle or apply plant protection products (PPP) that are not officially approved and registered for a specific target crop. This also explicitly includes local or temporary restrictions on application, e.g. in protected areas or in places where “incidents” have already occurred.

Producers must follow the manufacturer's instructions provided for application.

Producers must provide appropriate documentation about the type of crop, time, area, type and quantity of PPP application including the results of monitoring for a particular plant disease and how often it occurs.

They must also provide information about the origin of the PPPs to ensure traceability (e.g. bills, shipping documents).

The producer must ensure that he himself and all employees involved in applying the PPPs have the knowledge necessary (expertise) for the respective activity. Every individual who handles PPPs must have appropriate personal safety equipment.

The equipment used to apply the PPPs must be appropriate (i.e. accurate dosage and distribution of the PPPs) and it must ensure safe working conditions. There must be a process in place for regularly inspecting and calibrating this equipment.

Leftover approved PPPs or substances that are still in the possession of the producer after the approval has expired may not be applied to plants as a means of disposing of them. Just like the packaging for PPPs, they must instead be provided to appropriate and approved disposal facilities or returned to the manufacturer, who is generally required to take them back, for disposal.

4.4.5 Integrated pest management

Another important aspect of “Good Agricultural Practice” is integrated pest management (IPM). The goal is to ensure that products are safe and of high quality while minimising the use of pesticides and other chemical plant protection products. This goal is achieved through various preventative measures. It requires continuous monitoring and analysis of all conditions that affect plant growth.

Producers must keep proof of their IPM activities and assess their production processes in relation to integrated pest management processes.

4.4.6 Prevention of soil erosion

Producers have to protect the soil from erosion by means of appropriate measures. “Good agricultural practices” include several measures to prevent erosion, e.g. field tillage practices as well as land and crop rotation.

The challenge is to maintain the natural soil structure while lowering the risk of erosion caused by wind and water and to minimise the amount of time the soil is uncovered (necessarily). Areas with a higher potential for or risk of erosion should be identified and subject to special monitoring. Special attention should be paid to very sandy soils and land on slopes.

4.4.7 Preservation of organic matter and structure of soils

The soil organic matter levels must be maintained by means of appropriate field tillage practices or restored in the event that the soil has been drained of nutrients. If organic fertiliser is used to improve the organic soil substance, the nutritional-physiological requirements of the soil must be taken into account.

Producers must keep their land in good agricultural and environmental condition and ensure that there is no significant decrease in or reallocation of permanent grassland. This includes preventing deterioration of habitats e.g. by constructing buildings or other facilities with excessive land-use change and preventing the encroachment of unwanted vegetation on agricultural land. The producer must also ensure, where possible, the retention and/or restoration of typical landscape features (e.g. hedges, ponds, natural watercourses, ditches, trees in line, in groups or isolated, etc.). He should minimise livestock stocking rates or/and appropriate regimes.

4.4.8 Water protection and management

In addition to the requirements under 4.4.1, water resources must be properly protected and managed. In general, water must be protected against pollution and run-off to ensure that enough water is supplied to humans, livestock and crops (in this particular order) in line with their needs

Erosion control strips must be installed along natural watercourses in which production is less intensive in terms of field tillage and the use of fertilisers and pesticides.

When water is used for irrigation, a licence from the national or local authorities is required. Farmers must prove that comply with irrigation regulations. Documentation showing the amount of water used and the time period of irrigation must be kept and be available at any time.

4.4.9 Social responsibility

Sustainable production of biomass and biofuels/bioliquids also requires that economic operators uphold the principles of social responsibility. All countries from which biomass is sourced must adopt and meet the minimum requirements laid down and ratified by the International Labour Organisation (ILO)).

The following conventions must be followed with respect to the production of sustainable biomass:

Freedom of association and collective bargaining rights	87 – Convention concerning Freedom of Association and Protection of the Right to Organise, 1948
	98 – Convention concerning the Application of the Principles of the Right to Organise and to Bargain Collectively, 1949
Abolition of forced and bonded labour	29 – Convention concerning Forced or Compulsory Labour, 1930
	105 – Convention concerning the Abolition of Forced Labour, 1957
Elimination of discrimination in employment and occupation	100 – Convention concerning Equal Remuneration of Men and Women Workers for Work of Equal Value, 1951
	111 – Convention concerning Discrimination in Respect of Employment and Occupation, 1958
Abolition of child labour	138 – Convention concerning Minimum Age for Admission to Employment, 1973
	182 – Convention concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labour, 1999

You can find a list of the countries that have ratified the ILO conventions at <http://www.ilo.org/ilolex/english/docs/declworld.htm>.

4.5 Cut-off date

If land was converted on or after 1 January 2008, conversion and use of this land must fulfil the requirements for the production of sustainable biomass (4.1 to 4.3).

4.6 Documentation requirements

The REDcert scheme requires all economic operators to have a document management system that can be checked as part of an inspection. Proper documentation is required to comply with the legal provisions for sustainable bioliquids and biofuels.

All of the documents in the document management system must be kept for at least 5 years regardless of any other legal requirements relating to retention period

4.7 Conformity with the requirements criteria of Directive 2009/28/EC

4.7.1 Documentation for farms

Farms must comply with the requirements of the REDcert scheme and furnish proof of compliance as part of certification – as an individual farm or as part of a group of farms. The traceability of the sustainably produced raw materials for biomass must be guaranteed on farms and verification must be possible using appropriate documents (e.g. invoices, contracts, etc.). In addition, the farm must grant access to these documents and keep the documentation for at least 5 years as long as no other laws apply with regard to retention periods.

Farms have to provide proof to the first gathering point that the biomass supplied was sustainably produced and complies with legal requirements. As proof that the cultivated and supplied biomass meets the requirements of Directive 2009/28/EC, the producer of the raw material for the biomass (farmer) must submit a signed self-declaration to the first gathering point.

The respective documents verifying that the individual requirements have been met must either be kept by the first gathering point or be able to be provided by the farm.

The documentation on the location of the biomass cultivation must be available at the farm and be able to be provided at any time for the purpose of a neutral inspection – also independently of an ongoing certification process of the first gathering point.

In the declaration, the farm also confirms and accepts that, within the framework of inspections by the first gathering point to whom he supplies verifiably sustainable biomass, inspections can be performed by his certification scheme or the executing certification body. If the result of the inspection is positive, the farm is given an inspection certificate (as part of the neutral inspection in the farm).

A valid self-declaration (copy or original) must be available at the farm. The declaration is only valid for one harvest year.

The currently valid version of the sample declaration is publicly accessible and can be downloaded from the REDcert website.

Note:

Because it is not possible to clearly assign the biomass area to the type of production that is ultimately used to produce biomass in accordance with Directive 2009/28/EC at the time the biomass is cultivated or harvested (**the seller or processor decides whether it is used for food or animal feed or for energy production**), the farmer should specify all areas generally suitable for subsequent production. This ensures that the biomass processed in a subsequent production step

actually originates from areas that comply with the requirements of Directive 2009/28/EC. In addition, the farmer can be sure that – if the market situation allows – he can sell all of the biomass he produces in compliance with Directive 2009/28/EC.

4.7.2 Verification of the status of land

In most cases, several documents or supporting evidence is required to verify the land and ensure that the depth of the inspection is appropriate.

4.7.3 Location where the biomass was cultivated

The exact location of the biomass cultivation must be documented. The proof can be provided as a polygon or similar verification of area using field blocks, plots and parcels.

4.7.4 Polygon

The polygon must be drawn in geographic coordinates with a resolution of 20 metres for each individual point. In connection with the creation of the polygon, it is also possible to approximate the actual shape of the field with a polygon (in the most basic case with a triangle) for reasons of practicality. The respective start and end points of these lines delineating the polygon satisfy the accuracy requirements for the individual points above. The approximation using a polygon can be created with relatively few points provided that the resulting field area does not deviate any more than 10 % from the officially determined field area. The official area can be verified by providing the application for the area-based premium, register entries

or other similar documents. If the geo-coordinates of the individual points are not available in table form, they can be identified on the basis of tools such as Google Earth in such a way that the individual points are positioned manually as location markers (distinct, unique points that mark the border of the property) and the results (geo-coordinates) read and documented for the location markers.

As another application option for the polygon, the entire arable area of a farm including leased areas can be taken as a basis and then captured in a single polygon as long as there are no partial areas on the total area where no biomass may be grown as defined in Directive 2009/28/EC.

4.7.4.1 Field blocks, plots, parcels

If the farmer already has other proof of the areas for field blocks, plots or parcels that are similar to the polygon and identify the exact position of the area, these can also be used to document the location of biomass cultivation.

4.7.4.2 Cropland before 01.01.2008

The biomass originates from cropland that was already classified as such prior to 01.01.2008. The following documents can be used to prove that the land was already used for agricultural purposes before the cut-off date:

- official documents on the status of the land as of the cut-off date or conversion date
- certificates from contracted, independent verifiers or experts
- analyses and interpretations from remote sensing data and maps
- regional and local maps (e.g. land-use maps, site mappings, hydrological maps, vegetation maps, registry excerpts)
- remote sensing data
- international maps and data.
- the application for direct support schemes pursuant to Council Regulation (EC) **No. 1307/2013 or for area-based measures as well as the notification** that payments will be granted under these schemes can be used as evidence.

Note:

REDcert recommends documenting the status of land as of the cut-off date already in purchasing, leasing or management contracts by referencing documents suitable for verification (see above). Simply specifying the status contractually is not sufficient as proof.

4.7.4.3 Land within protected areas

The cultivation and harvesting of raw material for biomass on land within protected areas where farming is permitted represents a unique case. The farmer has to document whether farming takes place within an area serving the purpose of nature conservation and that nature conservation requirements have been met in the cultivation and harvesting of the raw material for biomass.

The following measures can serve as proof of compliance with nature conservation requirements:

- inspection of compliance with nature conservation requirements by a certification body or
- providing an official document from the nature conservation authority responsible for the protected area
- or
- similar confirmation by the competent authority as part of an inspection – the farmer has to be able to provide the authority with the contact people responsible and their telephone numbers

4.7.4.4 Peatland

Peatland that was already used as cropland before the cut-off date may be used for biomass cultivation as long as evidence is provided that the cultivation and harvest of this raw material did not require land to be drained that was previously not drained.

4.7.4.5 Conversion after 01.01.2008

The following proof and documents are accepted as evidence that the converted land is not subject to the requirements for sustainable biomass production:

- land-use plans, geographic material, official documents or comparable documents related to the reference area after January 2008 but prior to conversion that make it possible to clearly identify the reference area
- certificates of commissioned, independent verifiers or experts related to the reference area after January 2008 but prior to conversion

- satellite images with sufficiently high resolution that show the reference area after January 2007 and make it possible to clearly identify the reference area

4.7.4.6 Cross compliance

If, as a recipient of payments under direct support schemes, a farm is subject to cross compliance, it automatically satisfies the requirements for agricultural management (Art. 17 of Directive 2009/28/EC).

The application for direct support schemes pursuant to Council Regulation (EC) No. 1307/2013 or for area-based measures as well as the notification that payments will be granted under these schemes can be used as **proof**. See also section 4.4.

5 Requirements for biofuels/bioliquids made from waste and residues

Waste shall be defined as in Article 3 (1) of the Waste Framework Directive 2008/98/EC (WFD).⁴ According to this definition, waste can be understood as “any substance or object which the holder discards or intends or is required to discard”. Raw materials or substances that have been intentionally modified or contaminated to meet this definition are not covered by this definition. This means that substances which are intentionally produced or modified to count as waste (e.g. by adding waste material to a material that was not waste) do not qualify as waste.⁵

Raw materials or substances that have been intentionally modified or contaminated changed into materials to count as waste (e.g. by adding waste material to a material that was not waste) should not be considered as qualifying as waste.

Residual substances/residues can include the following:

- agricultural, aquaculture, fisheries and forestry residues
- processing residues

Agricultural, aquaculture, fisheries and forestry residues means residues that are directly generated by agriculture, aquaculture, fisheries and forestry. They do not include residues from related industries or processing.⁶

A processing residue is a substance that is not the end product(s) that a production process directly seeks to produce. It is not the primary aim of the production process and the process has not been deliberately modified to produce it. Examples of residues are crude glycerine, tall oil pitch and manure.⁷

Further information on substances that are not the primary goal of the production process can be found in the Communication from the Commission COM(2007) 59. Annex II of this Communication (COM(2007) 59) contains a decision-making diagram that can be used to help distinguish between waste and co-products (residue).⁸

⁴ Article 2 (p) Directive 2009/28/EC (RED) amended by Directive 2015/1513

⁵ Communication from the Commission on the practical implementation of the EU biofuels and bioliquids sustainability scheme and on counting rules for biofuels (2010/C 160/02)

⁶ Article 2 (v) Directive 2009/28/EC (RED) amended by Directive 2015/1513

⁷ Communication from the Commission on the practical implementation of the EU biofuels and bioliquids sustainability scheme and on counting rules for biofuels (2010/C 160/02)

⁸ COMMUNICATION OF THE COMMISSION TO THE COUNCIL AND THE EUROPEAN PARLIAMENT on the Interpretative Communication on waste and by-products from 21.2.2007 (COM (2007) 59)

5.1 Scope of application

The following section explains the requirements criteria and documentation and describes verification for waste and residual materials.

The scheme requirements apply for all economic operators in the area of waste and residues regardless of the type of crediting. These economic operators are:

- waste producers (companies that supply waste or residues to collection points, treatment or processing operations)
- collection points where waste and residues are fed into the processing chain
- conversion plants of all kinds
- suppliers of biomass (waste and residues as well as biofuels and bioliquids)

Operations that accept waste or residues from the supplying companies or private households for the first time are called **collection points**. These are generally collection/treatment and processing operations. Recycling depots are also classified as collectors/first gathering points if the biomass handled there is for the purpose of resale. Operations where waste and residual materials are processed by purely mechanical methods (sedimentation, filtration) are considered first gathering points/collectors and not conversion facilities as long as the input material and the material after mechanical processing is classified and declared using the same waste code (in accordance with national legislation). The terms "collection point" and "first gathering point" that are used in the other REDcert documents and checklists are synonymous.

Operations that process biomass made from waste or residual materials with the required quality for use as fuel or liquid biomass to produce electricity are **(last) interfaces**.

Collection points, interfaces and suppliers that are active before and after the interfaces above and are also registered in the REDcert scheme are required to undergo inspections and be certified.

5.2 Acceptance of certification schemes for waste and residues

To import waste and residues or biofuels/bioliquids produced from them, REDcert expressly reserves the right to explicitly approve other individual certification schemes to the extent that they at least fulfil the same additional requirements defined by REDcert. The accepted schemes will be published by REDcert in the newsletter and on REDcert's website.

5.3 Traceability and documentation requirements

Operations that supply waste or residual materials to collection/treatment or processing operations must confirm to the recipient that the supplied waste or residual material is only biomass as defined by Directive 2009/28/EC. To this end, the REDcert form "Self-declaration for the supply of waste and residual materials for biofuel production" should be filled out and provided to the biomass recipient. This form can be found on the REDcert website at www.redcert.org. The form can be used for every individual consignment or all consignments arising from an agreement or contract. If the self-declaration is used for all deliveries in an agreement or contract, the contract number or agreement number must be indicated on the self-declaration. It is also possible to incorporate the same wording in the self-declaration as text in the contract between the collector and the waste producer. The self-declaration as such or as part of the contract is valid for a maximum of one year starting from the date of issue.

In terms of waste and residue, the traceability of the biomass must be ensured by means of a mass balance system. The general requirements of a scheme-compliant mass balance system are described in detail in the REDcert document "Scheme principles for mass balancing".

The REDcert scheme requires all economic operators to have a document management system that can be checked as part of an inspection. Proper documentation is required to comply with the legal provisions for sustainable bioliquids and biofuels. All of the documents in the document management system must be kept for at least 5 years regardless of any other legal requirements relating to retention period

Operations where waste and residual materials are processed by purely mechanical methods must document changes in quantities (ratio of input/output). This must be checked by the inspector and verified during the inspection.

5.4 Other phase-specific documentation requirements

The requirements for traceability and documentation described above apply for all economic operators in the area of waste and residues. Other specific REDcert scheme requirements for collection points, (last) interfaces and suppliers are described in the following.

5.4.1 Collection points

Collection points for waste and residual materials must document the following for incoming goods:

- the name of all companies that he receives waste or residual materials from
- a confirmation that the supplied waste or residual material is only biomass as defined by the 2009/28/EC (**self-declaration**)
- shipping documents for every recorded quantity of waste or residual material (**e.g. delivery slip**)
- If not included in the shipping documents, for every consignment:
 - the type of waste or residual material supplied, waste code in accordance with Biowaste Ordinance if applicable and the respective category must be specified in accordance with Regulation (EC) No. 1774/2002 or 1069/2009 for animal by-products
 - the date the waste or residual material was received
 - the quantity of waste or residual material
 - if necessary, the GHG emissions (including upstream emissions (if appropriate)) as an absolute value in relation to the dry matter (for individual calculation or when requested by the biomass recipient) OR it should be indicated whether partial or default values should be applied to the incoming sustainable biomass

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit (i.e. dry matter basis for raw materials and intermediate products). Furthermore, actual values for each specific element must be reported (if appropriate). If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see “Scheme principles for GHG calculation”).

Purchasing contracts or similar documents as well as contracts with third parties commissioned to handle waste or residual materials shall be provided for inspection by the certification body responsible.

5.4.2 Collection from private households

The collection point does not need a self-declaration for waste and residual materials that originates from private households. Waste and residual materials from private households can still fall under the scope of certification according to Directive 2009/28/EC.

In the collection of cooking fats and oils, the collection point must ensure that the cooking fats and oils from private households are only disposed of under supervision – e.g. are poured into closed containers at the collector's site.

Furthermore, the collection point must keep records on the quantities of cooking oil and fat gathered from private households. These records must clearly show which quantities were collected in the defined collection period. The collection period can be defined by the collection point up to a maximum of 1 month – this must be documented accordingly. The records must be kept in such a way that the documentation clearly delineates the quantities of cooking oil and fat collected in the same period by waste producers.

5.4.3 Requirements for (last) interfaces

The requirements for (last) interfaces that are described in this document (see section 8 “Interface/last interface”) apply. The terms “sustainable biomass” and “waste and residues” are used interchangeably in this document.

Separate sustainability certificates also have to be created for biofuels produced from waste and residual materials.

5.4.4 Supplier requirements

Supplier requirements are described in this document in section 7 “Suppliers”. The terms “sustainable biomass” and “waste and residual materials” are also used interchangeably here.

5.5 Inspections and certification

Collection points, interfaces and suppliers that are active before and after the interfaces above and are also registered in the REDcert scheme are required to undergo inspections and be certified. The inspection system is described in the "Scheme principles for neutral inspections".

5.6 Exception for transshipment points

Transshipment points (and the use of them) are not considered operating sites subject to sample inspections. For more information, see the document "Scheme principles of neutral inspections".

6 First gathering points

6.1 General requirements

First gathering points have to document that they have pledged to satisfy the requirements of the REDcert scheme in the handling (e.g. storage, preparation, mixing) of biomass under the scope of Directive 2009/28/EC.

Verification can be provided in the form of, for example, the certificate (valid for 12 months) or the scheme contract with REDcert.

First gathering points also have to ensure that all of the operations directly or indirectly involved in the production or supply of biomass which are not interfaces themselves have at least pledged to fulfil the requirements of the REDcert certification scheme for the production of biomass, and actually satisfy these requirements.

Verification can be provided to the first gathering point in the form of the self-declarations filled out and signed by the farmers.

6.2 Documentation requirements

The traceability of the biomass is ensured by a mass balance system. Records are to be kept during every phase of production and supply. The system is to be applied in such a way that the quantity of sustainably produced biomass is identified for every phase. Records must ensure that there is always a transparent link between the biomass and the documentation.

The REDcert scheme requires all economic operators to have a document management system that can be checked as part of an inspection. Proper documentation is required to comply with the legal provisions for sustainable bioliquids and biofuels.

All of the documents in the document management system must be kept for at least 5 years regardless of any other legal requirements relating to retention period

6.2.1 Incoming sustainable biomass

The first gathering point has to document the following:

- name and number of all farms – ***a list is kept that is provided to the certification body responsible upon request to perform sample inspections***
- that the requirements set forth in Article 17 of Directive 2009/28/EC are satisfied by the farms for every consignment of sustainable raw material supplied (verified by the annual submission of a self-declaration by the farm)
- whether the farm is subject to inspections in accordance with Regulation (EC) 1307/2013 (**Cross Compliance**)
- location of the cultivation area as a polygon in geographic coordinates with a resolution of 20 metres for each individual point (this is not necessary if the producer confirms that he keeps the respective verification in the self-declaration)
- country of origin of the feedstock
- delivery documents for every quantity of sustainable biomass (***e.g. delivery slip or weight certificate***)
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- if not listed in the delivery documents, the following for every quantity of compliant biomass
 - the type of sustainable biomass
 - name and code (for waste and residues) and, when relevant, category for animal fats, etc.
 - the date the sustainable biomass was received
 - the quantity of sustainable biomass [in tonnes]
 - the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter of sustainable biomass for each specific element (if appropriate) as an absolute value or
 - indication of the (disaggregated) default value, e.g. “(Disaggregated) default value applied” or similar or

- NUTS2 values in grams of carbon dioxide equivalent per kilogram of dry matter of sustainable biomass
- **the information in the self-declaration determines whether it is necessary to perform an individual calculation of the GHG emissions or whether default values or NUTS2 values are applied**
- country where the biomass was produced
- purchasing contracts between the farm and the first gathering point or other standard industry documents or documents similar to purchasing contracts
- contracts with third parties that have been contracted to handle the sustainable biomass (e.g. subcontractors, brokers, storage facility operators)
- the name of the person who verified the accuracy of the data forwarded and documented by the upstream operation or operating site upon receipt of the sustainable biomass
- the name of the person who accepted the quantity of sustainable biomass

6.2.2 Internal documentation

With respect to the internal processes, the first gathering point must also collect the following data and archive it in the document management system:

- the quantity of sustainable biomass that went into the process
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- name and code (for waste and residues) and, when relevant, category for animal fats, etc.
- country of origin of the feedstock
- the type of internal processes (e.g. mixture and preparation)
- conversion rates
- GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter for each specific element (if appropriate) if an internal calculation is performed
- mass balance according to Directive standards

the name of the person who verified the accuracy of the internal process and the recorded and documented mass balance attributes

6.2.3 Outgoing sustainable biomass

Pursuant to Directive 2009/28/EC, first gathering points are required when supplying sustainable biomass to provide the data necessary for the documentation in the downstream operations or in the operating site of the downstream interface and to identify inconsistencies in the documentation immediately vis-à-vis the REDcert certification scheme and the contracted certification body.

The following data is to be provided by the first gathering point to the next interface when sustainably produced biomass is sold:

- delivery documents for every quantity of outgoing sustainably produced biomass
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- certificate number and name of the certification scheme (in this case: REDcert)
- country of origin of the feedstock
- type of sustainable biomass supplied
- name and code (for waste and residues) and, when relevant, category for animal fats, etc.
- date sustainable biomass left the premises
- quantity of sustainable biomass (in tonnes)
- the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter of sustainable biomass as an absolute value for each specific element (for an individual calculation)

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit (i.e. dry matter basis for raw materials and intermediate products). Furthermore, actual values for each specific element must be reported (if appropriate). If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see “Scheme principles for GHG calculation”).

and the following records kept:

- name and address of the buyer for every quantity of sustainably produced biomass
- purchasing contract between first gathering point and downstream interface, operation or operating site
- contracts with third parties that have been contracted to handle the sustainable biomass
- mass balance including batching for every new batch resulting from the internal process

7 Suppliers

This document describes the requirements criteria as well as the documentation and verification for all suppliers who participate in the REDcert scheme.

A distinction is made in the scheme between suppliers before the last interface and suppliers after the last interface.

Suppliers before the last interface are economic operators who supply biomass to the next recipient between the first gathering point and the last interface.

Suppliers after the last interface are the economic operators who supply the liquid biomass or fuel to the next recipient between production by the last interface and the plant operator or those required to provide proof that they satisfy the requirements.

7.1 General requirements

The REDcert scheme requires all economic operators to have a document management system that can be checked as part of an inspection.

In the bioenergy sector, the supplier after the last interface has to document that he has pledged to comply with the requirements of the REDcert certification scheme. Verification may be in the form of, for example, the inspection certificate or the scheme contract with REDcert.

7.2 Documentation requirements

Suppliers who participate in the REDcert scheme must have a document management system which can be checked as part of an inspection that is used to provide the data required for every consignment of sustainable biomass to the downstream operations. All of the documents in the document management system must be kept for at least 5 years regardless of any other legal requirements relating to retention period

When providing sensitive company data, proof must be provided that this data is handled confidentially by all operations along the supply chain.

7.2.1 Incoming sustainable biomass

Suppliers must document the following information upon receipt of biomass:

- name and address of the seller (upstream interface, farm or operating site) for every quantity of sustainably produced biomass
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- country of origin of the feedstock
- the type of sustainable biomass
- name and code (for waste and residues) and, when relevant, category for animal fats, etc.
- the date the sustainable biomass was received
- the quantity of sustainable biomass [in tonnes]
- certificate number and name of the certification scheme
- the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter in the case of raw or intermediate products of the sustainable biomass (including upstream emissions (if appropriate)) as an absolute value for each specific element (if appropriate) (for an individual calculation) or in grams of carbon dioxide equivalent per MJ in the case of biofuel/bioliquid
- “biofuel production process” – for use of the processing disaggregated default values/default values

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit. In the case of raw or intermediate products, the GHG emissions relate to the dry matter content. Furthermore, actual values for each specific element must be reported (if appropriate). In the case of biofuel/bioliquid, these relate to the energy content of the end product. If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see Scheme principles for GHG calculation).

7.2.2 Outgoing sustainable biomass

The following records must be kept by the suppliers both before and after the last interface upon sale of sustainably produced biomass:

- name and address of the buyer (downstream interface, operation or operating site) for every quantity of sustainably produced biomass
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- country of origin of the feedstock
- type of sustainable biomass supplied
- name and code (for waste and residues) and, when relevant, category for animal fats, etc.
- date sustainable biomass left the premises
- quantity of sustainable biomass (in tonnes)
- the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter in the case of raw or intermediate products of the sustainable biomass as an absolute value for each specific element (if appropriate) (for an individual calculation) or in grams of carbon dioxide equivalent per MJ in the case of biofuel/bioliquid
- “biofuel production process” – for use of the processing disaggregated default values/default values

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit. In the case of raw or intermediate products, the GHG emissions relate to the dry matter content. Furthermore, actual values for each specific element must be reported (if appropriate). In the case of biofuel/bioliquid, these relate to the energy content of the end product. If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see “Scheme principles for GHG calculation”).

- any information about the mixture of various quantities
- reallocations to other operations/operating sites
- Issuing partial sustainability certificates

Suppliers in the REDcert scheme also have to report inconsistencies in the documentation of the upstream operations or operating sites immediately to the REDcert certification scheme and the contracted certification body. There is also a general obligation to provide data to REDcert upon request (e.g. if this is necessary to ensure continuous traceability of the sustainable biomass).

8 Interface/last interface

8.1 Documentation requirements

The REDcert scheme requires all economic operators to have a document management system that can be checked as part of an inspection. Proper documentation is required to comply with the legal provisions for sustainable bioliquids and biofuels. All of the documents in the document management system must be kept for at least 5 years regardless of any other legal requirements relating to retention period

8.1.1 Incoming sustainable biomass

The interfaces have to document and keep the following information after receipt of sustainable biomass:

- delivery documents for every quantity of sustainable biomass (e.g. delivery slip)

and if not listed in the delivery documents, the following for every quantity of biomass to comply with Directive 2009/28/EC:

- name and address of the supplier/upstream operation
- a copy of the certificate of the upstream interface that was valid at the time the production, processing or other step was carried out in the interface for the biomass
- the purchasing contract for sustainable biomass between the operation or the operating site and the upstream operation or operating site
- contracts with third-parties (e.g. with external providers or in the case of a subcontracting agreement, etc.) that was commissioned to handle the sustainable biomass
- for each quantity of sustainable biomass that has to be uniquely and unmistakably labelled (e.g. unique identification number):
 - (1) the type of incoming sustainable biomass
 - (2) name and code (for waste and residues) and, when relevant, category for animal fats, etc.
 - (3) country of origin of the feedstock
 - (4) the date the sustainable biomass was received
 - (5) the quantity of sustainable biomass [in tonnes]

- (6) confirmation statement of the employee responsible for the incoming goods
- (7) the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter of the sustainable biomass (including upstream emissions (if appropriate)) as an absolute value for each specific element (if appropriate) (for an individual calculation)
- (8) “biofuel production process” – for use of the processing disaggregated default Values/default values

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit. In the case of raw or intermediate products, the GHG emissions relate to the dry matter content. Furthermore, actual values for each specific element must be reported (if appropriate). In the case of biofuel/bioliquid, these relate to the energy content of the end product. If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see “Scheme principles for GHG calculation”).

8.1.2 Internal company information

The following additional data is also to be documented for internal processes:

- the quantity of sustainable biomass that went into the process and has to be uniquely and unmistakably labelled (e.g. unique identification number)
- name and code (for waste and residues) and, when relevant, category for animal fats, etc.
- country of origin of the feedstock
- biofuel installation date of operation
- the type of internal process (e.g. pressing, refining, mixing of the sustainable biomass in tank storage, reallocation of quantities to another operating site, issuance of a sustainability certificate or partial sustainability certificate, etc.)
- in the case of raw or intermediate products, the feedstock factor (kg/kg) for the dry matter content
- in the case of biofuel/bioliquid, the feedstock factor for biofuel (MJ/MJ)

- GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter for each specific element (if appropriate) if an internal calculation is performed
- in the case of raw or intermediate products, the allocation factor for the intermediate product
- in the case of biofuel/bioliquid, the allocation factor for biofuel/bioliquid
- mass balance incl. the quantity resulting from the internal process
- the confirmation statement of the employee responsible who verified the accuracy of the internal process and the recorded and documented mass balance attributes

8.1.3 Outgoing sustainable bioliquid and biofuel

The last interface is required to document the following upon sale of sustainable liquid biomass or biofuels:

- name and address of the buyer for every quantity of sustainably produced **bioliquid** or biofuel
- every consignment of biomass must be clearly and unmistakably labelled (e.g. unique identification number)
- country of origin of the feedstock
- type of supplied sustainable **bioliquid** or biofuel
- date the sustainable **bioliquid** or biofuel left the premises
- quantity of sustainable **bioliquid** or biofuels (in tonnes)
- biofuel installation date of operation
- biofuel type, name and code (for waste and residues) and when relevant category for animal fats, etc.
- the GHG emissions in grams of carbon dioxide equivalent per kilogram of dry matter in the case of raw or intermediate products of the sustainable biomass as an absolute value for each specific element (if appropriate) (for an individual calculation) or in grams of carbon dioxide equivalent per MJ in the case of biofuel/bioliquid
- **“biofuel production process” – for use of the processing disaggregated default values/default values**

Please note that only actual GHG emission values are to be recorded/transmitted along the supply chain in the appropriate unit. In the case of raw or intermediate products, the GHG emissions relate to the dry matter content. Furthermore, actual values for each specific element must be reported (if appropriate). In the case of biofuel/bioliquid, these relate to the energy content of the end product. If (disaggregated) default values are applied then it should simply be stated “(Disaggregated) default value applied” or similar (see “Scheme principles for GHG calculation”).

The following must also be documented for the special case of the last interface:

- the issuance of the sustainability certificates (see section 7.2)

The REDcert certification scheme is to be notified immediately of any discrepancies in the documentation of the upstream operations and operating sites. There is also a general obligation to provide data to REDcert upon request (e.g. if this is necessary to ensure continuous traceability of the sustainable biomass).

When providing sensitive company data, proof must be provided that this data is handled confidentially.

8.2 Issuing sustainability certificates

Sustainability certificates are documents that verify that the sustainability requirements are satisfied for a quantity of biomass or biofuel at the time they are issued by the last interface.

8.2.1 Prerequisites for issuing sustainability certificates

The following conditions have to be fulfilled for the last interface to be able to issue sustainability certificates:

- (1) The last interface has to have a certificate recognised under the Directive which is valid at the time the sustainability certificate is issued
- (2) The last interface must present a copy of its certificate to the directly upstream interfaces that are recognised in the same way and that were valid at the time the biomass was supplied
- (3) The last interface must confirm that the requirements of the Directive have been satisfied in production

- (4) The last interface must specify the GHG emissions that all of the operations directly or indirectly involved in the production or supply of the biomass which are not interfaces themselves caused in the production and delivery of the biomass in g CO_{2eq}/MJ as long as they have to be included in the calculation of the greenhouse gas emission saving
- (5) The last interface must verify the origin of the biomass under a mass balance system
- (6) The last interface must ensure that the liquid biomass or biofuel has the legally required greenhouse gas emission saving

8.2.2 Contents of sustainability certificates

The contents of the sustainability certificates are regulated by a form supplied by the RED-cert scheme. The use of this form is mandatory.

8.2.3 How sustainability certificates become invalid

Sustainability certificates are invalid when:

- they do not contain one or more of the entries required in the form
- they contain falsified or incorrect information
- the certificate of the issuing interface was not or was no longer valid at the time the sustainability certificate was issued (exception: if the individual required to provide proof was not aware of the inaccuracy of the information and, even exercising the usual diligence, he would not have been able to notice the inaccuracy and the certificate of the issuing interface was valid at the time the sustainability certificate was issued)
- the sustainability certificate or the certificate of the issuing interface was issued in a certification scheme that was not or was no longer recognised at the time the sustainability certificate or the certificate was issued
- the certificate of the issuing interface was issued by a certification body that was not or was no longer recognised at the time that certificate was issued

8.3 Issuing partial sustainability certificates

Suppliers after the last interface can issue partial sustainability certificates for partial quantities of biofuels or liquid biomass for which a sustainability certificate was already issued. In addition, different quantities of biofuel or liquid biomass for which a sustainability certificate was already issued can be merged in partial sustainability certificates.

9 Relevant documents

The documentation structure of the REDcert-EU scheme includes the following:

No.	Document	Published/revised
1	Scope and basic scheme requirements	The current version of the REDcert-EU scheme principles is published on the website at www.redcert.org .
2	Scheme principles for the production of biomass, biofuels and bioliquids	
3	Scheme principles for GHG calculation	
4	Scheme principles for mass balancing	
5	Scheme principles for neutral inspections	
6	Sanction system	
7	Complaint management system	
8	Phase-specific checklists	

REDcert reserves the right to create and publish additional supplementary scheme principles if necessary.

The legal EU regulations and provisions for sustainable biomass as well as biofuels and bioliquids including other relevant references that represent the basis of the REDcert-EU documentation are published separately on REDcert's website at www.redcert.org. When legal regulations are referenced, the most current version is always assumed.